



Mercantile Exchange Nepal Limited
— Where the Nation Trades —
AN ISO 9001:2015 CERTIFIED EXCHANGE

DOCUMENTS TO BE SUBMITTED BY SUB-BROKER/AE

INDIVIDUAL SUB-BROKER

- Sub-Broker/AE Profile
- Proof of Identity & Proof of Address- self certified
- Broker-AE or CM-AE Agreement

INSTITUTIONAL SUB-BROKER

- Sub-Broker/AE Profile
- Broker-AE or CM-AE Agreement
- Name and Address of Board of Directors & details of Percentage of shares holding
- Resolution authorizing for application for registration as Sub Broker and the signatory
- Letter authorizing the person duly signed by all directors
- Proof of ID and address of the authorized person
- Company Registration Certificate
- Memorandum of Association & Article of Association
- VAT Registration Certificate
- Proof of Address of Company