



Mercantile Exchange Nepal Limited  
Where the Nation Trades  
AN ISO 9001:2015 CERTIFIED EXCHANGE

## DOCUMENTS TO BE SUBMITTED BY MARKET MAKER

### **INDIVIDUAL MARKET MAKER [Individual Person]**

- Client Registration Form
- Broker-Client or CM-Client Agreement
- Proof of Identity [Non mandatory]
- Proof of Address
- Proof of Bank Account Number
- Self Certified Copy of PAN card, if any
- Terms and Conditions for Market Maker

### **INSTITUTIONAL MARKET MAKER**

- Application form along with photo of Authorized Person
- Bio data of directors, Partners or Authorized representatives along with photos
- Letter of Undertaking
- Financial Credibility Certificate [FCC] letter provided by Bank on current account
- Proof of identity- copy of citizenship/passport/driving license- self certified
- Proof of address-utility bill/bank statement- self certified
- Memorandum of Association & Article of Association
- Copy of latest filed income tax return if the company is registered for more than one year.
- Resolution Authorizing the Institution to Apply for Market Maker Membership, Execution of MEX-MM Agreement & Authorized Signatory
- Letter Authorizing the Authorized Person
- PAN/VAT Certificate- self certified
- Net worth certificate as prescribed by exchange [certified by registered auditor]
- Company Registration Certificate - self certified
- Specimen of Signature and Seal