



Mercantile Exchange Nepal Limited
— Where the Nation Trades —
AN ISO 9001:2008 CERTIFIED EXCHANGE

Application for Registration as a Broker

To,
Mercantile Exchange Nepal Limited,
Charkhal, Kathmandu, Nepal.

Dear Sir,

A recent photograph of
the authorized person
of the Broker in light
background
Please sign across the
photograph

I/We request you to register _____ [Name of the Broker]
as a Broker of the Exchange under _____ [Name of the Clearing Member].

Company Details

Name of the Company: _____
Registration No.: _____ Date of Incorporation/Registration: _____
Registered Office Address: _____ City: _____
VDC/Municipal: _____ Ward No./Street: _____ Street/Block: _____
Website: _____ E-mail ID: _____
Address for communication (if different from above)
City: _____ VDC/Municipal: _____
Ward No./Street: _____ Street/Block: _____
Permanent Account Number (PAN): _____
Name of the Authorized Person: _____
Names of the Chairman/Managing Partner/Proprietor and Directors/ Partners: _____

Bank Account Details

Name of Bank: _____
Operational Account Number: _____

Other Details

Name of other Exchange(s) in which the applicant is/was a member: _____
Name of other Exchange(s) in which any of the director/partner/proprietor is/was a member: _____

Declarations

- a) Whether any court case is pending against the applicant or partners/directors/promoters of the applicant? [] YES [] NO
- b) Whether the applicant or the partners/directors/promoters of the applicant is involved in any financial irregularities and subject to any disciplinary proceedings? [] YES [] NO
- c) Whether the applicant or partners/directors/promoters of the applicant were subject to any disciplinary proceedings in any other exchange? [] YES [] NO

(If answer is yes in clause (a) and (b) above, please furnish the details of such financial irregularities and/or disciplinary action in a separate sheet)

I/We hereby declare that the information furnished in this application is true and correct.

I/We agree to abide by the Bye-Laws and Rules of the Exchange.

Signature of Applicant/Authorized Representative

Company Seal: _____
Date: _____

For Office Purpose

Clearing Member Verification

Verified by : _____
Signature : _____

Exchange Approval

Broker Code : _____
Approved by : _____
Signature : _____